



PATENT  
Docket No. 275.00030102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Li et al.	)	Group Art Unit:	1635
		)		
Serial No.:	10/038,984	)	Examiner:	Unknown
Confirmation No.:	9705	)		
		)		
Filed:	January 4, 2002	)		
		)		
For:	COMPOSITION AND METHOD FOR IN VIVO AND IN VITRO ATTENUATION GENE EXPRESSION USING DOUBLE STRANDED RNA			

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 forms is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, Applicants further request that a copy of the 1449 forms, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any prior art and any provisional U.S. patent applications referenced therein. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

**Information Disclosure Statement**

Page 2 of 3

Applicants: Li et al.

Serial No.: 10/038,984

Confirmation No.: 9705

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For: COMPOSITION AND METHOD FOR IN VIVO AND IN VITRO ATTENUATION OF GENE  
EXPRESSION USING DOUBLE STRANDED RNA

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**List of Pending Non-Published U.S. Patent Applications**

Applicants	Application Number	Filing Date	Serial No. of Provisional Application to which listed Application claims priority
Li et al.	09/493,301	01/28/00	60/117,635 60/175,440

This application is a continuation of U.S. Patent Application Serial No. 09/493,301 filed January 28, 2000. In accordance with 37 C.F.R. § 1.98(d), copies of documents previously cited by or submitted to the U.S. Patent and Trademark Office in connection with Applicants' prior application(s) listed above, are not included herewith.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

**Information Disclosure Statement**

Page 3 of 3

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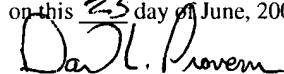
For: COMPOSITION AND METHOD FOR IN VIVO AND IN VITRO ATTENUATION OF GENE  
EXPRESSION USING DOUBLE STRANDED RNA

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The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202, on this 25 day of June, 2002.



David L. Provence

Date June 25, 2002

Respectfully submitted for

**Li et al.**

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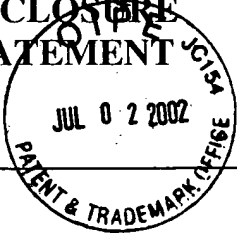
<b>INFORMATION DISCLOSURE STATEMENT</b>		Atty. Docket No.: 275.0003 0102		Serial No.: 10/038,984			
				Confirmation No. 9705			
		Applicant(s): Yin-Xiong Li et al.					
		Filing Date: January 4, 2002		Group: 1635			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Name	Class	Filing Date If Appropriate		
	5, 422,241	06/06/95	Goldrick et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
	Document Number	Date	Country	Class	SubClass	Translation	
						Yes	No
	WO 99/32619	07/01/99	PCT				
	WO 99/38537	08/05/99	PCT				
	WO 99/61631	12/02/99	PCT				
<b>OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)</b>							
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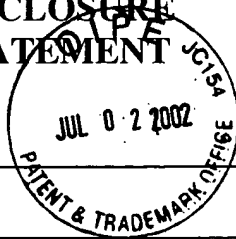
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<b>INFORMATION DISCLOSURE STATEMENT</b> 	Atty. Docket No.: 275.0003 0102	Serial No.: 10/038,984
		Confirmation No. 9705
	Applicant(s): Yin-Xiong Li et al.	
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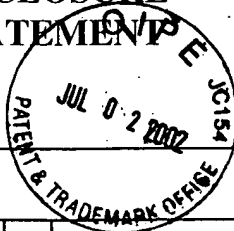
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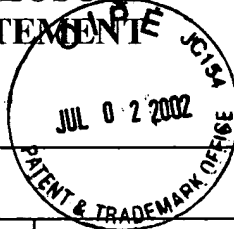
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